



**San Luis & Delta-Mendota Water Authority  
Monday, October 5, 2020, 12:00 p.m.**

**Notice of Telephonic Finance & Administration Committee Regular Meeting and  
Joint Telephonic Finance & Administration Committee Regular Meeting-Special  
Board Workshop**

**Join Zoom Meeting**

<https://us02web.zoom.us/j/89196016489?pwd=WXRNRbTJiSEtFUk0wcm1QT21uUHpVdz09>

**Meeting ID: 891 9601 6489**

**Passcode: 621118**

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**Find your local number: <https://us02web.zoom.us/j/89196016489?pwd=WXRNRbTJiSEtFUk0wcm1QT21uUHpVdz09>**

NOTE: In accordance with the Governor's Executive Order (N-29-20) and the declared State of Emergency as a result of the threat of COVID-19, members of the Finance & Administration Committee, Board of Directors, and Water Authority staff will be participating in this meeting remotely from multiple locations. This meeting will occur exclusively through ZOOM. If members of the public have any problems using the call-in number during the meeting, please contact the Authority office at 209-826-9696.

NOTE FURTHER: Any member of the public may address the Finance & Administration Committee/Board concerning any item on the agenda before or during consideration of that item, as appropriate.

Because the notice provides for a special telephonic meeting of the Finance & Administration Committee ("FAC") and a joint special telephonic FAC Meeting/Special Board Workshop, Board Directors/Alternates may discuss items listed on the agenda; however, only FAC Members/Alternates may correct the agenda or vote on action items.

**Agenda**

1. Call to Order/Roll Call
2. Finance & Administration Committee to Consider Additions or Corrections to the Agenda for the Finance & Administration Committee Meeting only, as Authorized by Government Code Section 54950 et seq.

3. Opportunity for Public Comment – Any member of the public may address the Finance & Administration Committee/Board concerning any matter not on the Agenda, but within the Committee's or Board's jurisdiction. Public comment is limited to no more than three minutes per person. For good cause, the Chair of the Finance & Administration Committee may waive this limitation.

### **ACTION ITEMS**

4. **Finance & Administration Committee to Consider Approval of the September 14, 2020 Meeting Minutes**

### **REPORT ITEMS**

5. Discussion regarding Jones Pumping Plant Unit Rewind Project Financing, including Sources
6. FY21 Activity Agreements Budget to Actual Report through 8/31/20
7. FY21 O&M Budget to Actual Report through 8/31/20
8. Procurement Activity Report
9. Executive Director's Report, Barajas  
(May include reports on activities within the Finance & Administration Committee's jurisdiction related to 1) CVP/SWP water operations; 2) California storage projects; 3) regulation of the CVP/SWP; 4) existing or possible new State and Federal policies; 5) Water Authority activities; 6) COVID-19 response)
10. Committee Member Reports
11. Reports Pursuant to Government Code Section 54954.2(a)(3)
12. ADJOURNMENT

Persons with a disability may request disability-related modification or accommodation by contacting Cheri Worthy or Felicia Luna at the San Luis & Delta-Mendota Water Authority Office via telephone (209) 826-9696 or email [[cheri.worthy@sldmwa.org](mailto:cheri.worthy@sldmwa.org) or [felicia.luna@sldmwa.org](mailto:felicia.luna@sldmwa.org)] at least 3 days before a regular meeting or 1 day before a special meeting/workshop.

This agenda has been prepared as required by the applicable laws of the State of California, including but not limited to, Government Code Section 54950 et seq. and has not been prepared with a view to informing an investment decision in any of the Authority's bonds, notes or other obligations. Any projections, plans or other forward-looking statements included in the information in this agenda are subject to a variety of uncertainties that could cause any actual plans or results to differ materially from any such statement. The information herein is not intended to be used by investors or potential investors in considering the purchase or sale of the Authority's bonds, notes or other obligations and investors and potential investors should rely only on information filed by the Authority on the Municipal Securities Rulemaking Board's Electronic Municipal Market Access System for municipal securities disclosures, maintained on the World Wide Web at <https://emma.msrb.org/>.

**SAN LUIS & DELTA-MENDOTA WATER AUTHORITY  
TELEPHONIC FINANCE & ADMINISTRATION COMMITTEE REGULAR MEETING  
AND  
JOINT TELEPHONIC FINANCE & ADMINISTRATION COMMITTEE REGULAR  
MEETING / SPECIAL BOARD WORKSHOP MINUTES FOR  
September 14, 2020**

The Finance & Administration Committee of the San Luis & Delta-Mendota Water Authority convened at approximately 12:00 p.m. This meeting was held via teleconference/ZOOM in accordance with the Governor's Executive Order (N-29-20) and the declared State of Emergency as a result of the threat of COVID-19, with Chair Rick Gilmore presiding.

**Members and Alternate Members in Attendance**

**Ex Officio**

William Bourdeau

**Division 1**

Rick Gilmore, Member - Anthea Hansen, Alternate

**Division 2**

Bobbie Ormonde, Member

**Division 3**

Chris White, Member - Jarrett Martin, Alternate

**Division 4**

Sara Singleton, Member - Dana Jacobson, Alternate

**Division 5**

Bill Pucheu, Member - Steve Stadler, Alternate

**Friant Water Authority**

Doug DeFlicht, Alternate for Jason Phillips

**Board of Directors Present**

**Division 1**

Rick Gilmore, Director

Anthea Hanson, Director

**Division 2**

William Bourdeau, Director

**Division 3**

Chris White, Director - Jarrett Martin Alternate

**Division 4**

Sara Singleton, Alternate

**Division 5**

Bill Pucheu, Director

Steve Stadler, Director

## Authority Representatives Present

Federico Barajas, Executive Director  
Rebecca Akroyd, General Counsel  
Pablo Arroyave, Chief Operating Officer  
Scott Petersen, Water Policy Director  
Joyce Machado, Director of Finance  
Lauren Neves, Accountant III  
Stewart Davis, IT Officer  
Bob Martin, Facilities O&M Director

## Others in Attendance

None

### 1. Call to Order/Roll Call

Chair and Member Rick Gilmore called the meeting to order at approximately 12:00pm.

### 2. Additions or Corrections to the Agenda

There were no additions or corrections.

### 3. Opportunity for Public Comment

There was no public comment.

### 4. Finance & Administration Committee to Consider Approval of the August 3, 2020 Meeting Minutes.

Member Sara Singleton made the motion to approve the August 3, 2020 meeting minutes. The motion was seconded by Member Bill Pucheu and passed unanimously. The Committee action is reported as follows:

AYES: Bourdeau, Gilmore, Ormonde, White, Singleton, DeFlicht

NOES: None

ABSTENTIONS: None

### 5. Finance & Administration Committee to Consider Recommendation to Board of Directors to Adopt Resolution Providing for the Issuance of Revenue Bonds to Finance a Portion of the Cost of Extraordinary Maintenance to the Jones Pumping Plant, Authorizing the Execution of Certain Documents and Authorizing Certain Other Actions in Connection Therewith.

Chief Operating Officer Pablo Arroyave provided a brief overview of the memo provided and reviewed background from the workshop, including direction to pursue a 25-year term for

public financing. Arroyave introduced Lora Carpenter and consultants to present the information in further detail. Carpenter walked through a PowerPoint presentation including a summary of the overall project and current funding sources. She then explained the amortization schedule and a breakdown of the costs that would be included in that. There is a meeting scheduled with Moody's on September 28<sup>th</sup> to set a rating for the bonds with the goal of receiving bids or potential bids by the beginning of October. Cameron Parks of CitiGroup provided a market update. He provided various statistics in regards to interest rates mentioning current 2020 rates are particularly low making it a very attractive time for borrowing. Doug Brown completed the presentation by reviewing the resolution and highlighting the disclosure agreement and certain covenants regarding the transfer agreement. Member Sara Singleton made the motion to recommend to the Board to Adopt Resolution Providing for the Issuance of Revenue Bonds to Finance a Portion of the Cost of Extraordinary Maintenance to the Jones Pumping Plant, Authorizing the Execution of Certain Documents and Authorizing Certain Other Actions in Connection Therewith. The motion was seconded by Member Bobbie Ormonde and passed unanimously. The Committee action is reported as follows:

AYES:	Bourdeau, Gilmore, Ormonde, White, Singleton, DeFlitch
NOES:	None
ABSTENTIONS:	None

## REPORT ITEMS

### 6. FY21 Activity Agreements Budget to Actual Report through 7/31/20.

Director of Finance Joyce Machado reported on the Budget to Actual Comparison Summary for March 1, 2020 through July 31, 2020 for the member-funded activities. Machado stated that for the five-month period, the budget was trending positive with overall spending through July 31, 2020 at 29.12% of the approved budget.

### 7. FY21 O&M Budget to Actual Report through 7/31/20.

Director of Finance Joyce Machado reported to the Committee that for WY20 the self-funded routine O&M expenses through July 31, 2020 were under budget by \$1,872,375. Joyce reminded the committee that WY19 draft contractor records were mailed out on June 23<sup>rd</sup> for water users to review their deliveries and water payments for accuracy. Minor exceptions were reported by July 30<sup>th</sup> all of which have been resolved. The WY17 and WY18 final accountings are being completed in conjunction with the FY20 audit. The Intertie cost recovery remains

outstanding.

**8. Procurement Activity Report.**

There were no contracts awarded between July 1 through July 31 utilizing the Authority's formal bidding, informal bidding, or single source procedures.

**9. Fiscal Year 2020 Audit Update**

Director of Finance Joyce Machado reported that the Fiscal Year 2020 audit is expected to be completed for the October Finance and Board meetings.

**10. Executive Director's Report.**

Executive Director Federico Barajas provided an update on the San Luis Transmission Project. He stated that there was a management/policy level meeting held with Reclamation and Western Area Power Administration to ensure all regional directors are aware of the direction the Authority has received from the Board. During the meeting, they discussed various funding options, the first funding option for the project being the Authority funding 50% and finding a private investor to fund the other 50%. The second option would be the Authority funding 100% of the project. Lastly, having an independent investor fund 100% of the project. The Authority is currently pursuing all options for funding and is in the process of weighing the pros and cons for each. Federico stated that the Authority may need someone on board with expertise in regards to power and may bring it to the Board for discussion in the near future.

Barajas next provided an update regarding an RFP for a compensation survey. This year the Authority will bring a consultant on-board to perform a full compensation survey for positions within the Authority. Staff is currently reviewing proposals submitted by three firms and will award a contract as soon as practical.

Next, Barajas updated the committee in regards to the Sacramento office. Currently the Authority is leasing office space from Kronick but their lease expires January 2021 and they will not be renewing it. Kronick has found new office space on Garden Highway and is willing to

continue subleasing with the Authority as they have in the past. Staff is coordinating with Kronick to secure office space at new location.

Lastly, the Authority has been working with EPA to continue advancing the WIFIA application process and meet their criteria. Congressman Garamendi introduced a bill on Friday called the WIFIA Improvement Act, which would allow the Authority to attend to any deficiencies as far as the federal nexus.

**11. Committee Member Reports.**

No reports given.

**12. Reports Pursuant to Government Code Sec 54954.2**

No reports given.

**13. Agenda Item 13: Adjournment.**

The meeting was adjourned at approximately 12:50 p.m.



## MEMORANDUM

TO: SLDMWA Finance and Administration Committee/Board of Directors, Alternates

FROM: Pablo Arroyave, Chief Operating Officer

DATE: October 5, 2020

RE: Discussion regarding Jones Pumping Plant Unit Rewind Project Financing, including Sources

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### BACKGROUND

The JPP Unit Rewind Project consists of six units. Two unit rewinds have been completed and the third is in progress. Funding for the fourth unit is required by November 1, 2020 in order to stay on schedule with the current contractor (NEC).

Reclamation provided a loan to fund the first unit and the Water Authority funded the 2<sup>nd</sup> unit. The Water Authority recently entered into a second Repayment Contract with Reclamation to fund two (2) units. The Water Authority needs to secure additional funding for the remaining two (2) units.

In the September 2020 Board of Directors meeting, the Board adopted a Resolution Providing for the Issuance of Revenue Bonds to Finance a Portion of the Cost of Extraordinary Maintenance to the Jones Pumping Plant, Authorizing the Execution of Certain Documents, and Authorizing Certain Other Actions in Connection Therewith. In this Resolution, the Board authorized staff to take necessary actions to move forward with public financing of the remaining two units, with the understanding that staff would return to the Board in October to discuss whether public financing or a WIFIA loan was the preferred source of funding for the project.

### ITEMS FOR DISCUSSION

1. Continued pursuit of public financing with a 25-year term rather than a WIFIA loan to finance the remaining two units of the JPP Unit Rewind Project
2. Exploration of an agreement with the Friant Water Authority (FWA) that would allow separate payment of the FWA share of funding (approximately 40%) outside of bond issuance



## ANALYSIS

Since the Board action in September, staff has proceeded in finalizing documents and scheduling meetings necessary to move forward with the public financing, over a 25-year term, to finance the remaining two units of the JPP Unit Rewind Project. The current schedule has the final POS coming to the Board for approval in November 2020.

The WIFIA application process is currently in the due diligence phase of the loan review. Water Authority staff has been working on the application process with EPA. However, significant questions still persist regarding the Water Authority's eligibility for WIFIA financing and there is no assurance that the Authority would be successful in acquiring the WIFIA loan. While legislation that would address federal nexus concerns has been introduced and has passed the Senate as a part of that chamber's version of the Water Resources Development Act, enactment of that legislation before the end of 2020 is uncertain and will be significantly influenced by the outcome of the November elections.

In addition, FWA has expressed an interest in paying their approximately 40% share of the obligation for the 2 units separately and not participate in the public bond process. FWA is proposing a payment schedule below that corresponds to the timing of the payments the Water Authority will need for NEC. In addition, this proposal will be discussed with Moody's Rating Agency on October 12<sup>th</sup> to ensure that there are no outstanding concerns with this approach. Assuming no adverse impacts to Water Authority members associated with such a payment scheme, an agreement or MOU to allow separate payment by FWA of their share of the obligation would be brought to the Board for approval in November 2020.

Payment	Date	Friant Share at 40% of Total
Unit 1 NTP	11/1/2020	1,755,108.40
Unit 1 Mid	6/1/2021	-
Unit 2 NTP	7/1/2021	995,154.34
Unit 1 Test	11/1/2021	701,918.68
Unit 2 Mid	4/1/2022	778,678.00
Unit 2 Test	8/1/2022	709,007.00
	<b>Totals</b>	<b>4,939,866.41</b>

The above payment schedule is based on the estimated costs of the remaining two units of the Rewind Project, as well as FWA's estimated share of the cost of the two units (the estimate is based on a 40% share, while FWA's most recent calculated average allocation is around 38%). Consistent with the Water Authority's invoicing and accounting practices, the actual amount of funding to be provided by FWA will be reconciled and "trued-up" such that FWA will pay their appropriate share based on the Reserve Fund allocation methodology and the actual cost of the two units of the Rewind Project. As such, FWA may receive a credit for any overpayment or an

Memo to SLDMWA Finance & Administration Committee, Board of Directors

October 5, 2020

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additional billing if necessary to ensure FWA pays its appropriate share to ensure there is no impact to other Contractors.

Jones Pumping Plant  
Unit Rewind Project  
PROJECT COST SUMMARY

	Scheduled Start Date	Scheduled Completion Date	NEC Construction Cost	Construction Contingency Cost (10%)	Total Construction Contract Cost	USBR Oversight Cost	DHR PSA Cost	Total Contracts	SLDMWA Planned Labor	Totals
Unit 6 TOTAL COST <sup>1</sup> :	Apr-18	Feb-19						\$ 5,000,000	\$ 403,298	\$ 5,403,298
Units 1-5 Design <sup>2</sup> :	Mar-19	Jun-19	\$ -	\$ -	\$ -	\$ 60,000	\$ 69,036	\$ 129,036	\$ 8,428	\$ 137,464
First Unit (U-2):	Sep-19	Jun-20	\$ 4,420,365	\$ 442,037	\$ 4,862,402	\$ 50,000	\$ 497,130	\$ 5,409,532	\$ 686,000	\$ 6,095,532
Second Unit (U-5):	Jun-20	Mar-21	\$ 4,373,881	\$ 437,388	\$ 4,811,269	\$ 51,500	\$ 505,550	\$ 5,368,319	\$ 711,400	\$ 6,079,719
Third Unit (U-1):	Mar-20	Nov-21	\$ 4,387,771	\$ 438,777	\$ 4,826,548	\$ 52,700	\$ 514,170	\$ 5,393,418	\$ 736,533	\$ 6,129,951
Fourth Unit (U-4):	Nov-21	Aug-22	\$ 4,431,356	\$ 443,136	\$ 4,874,492	\$ 53,900	\$ 532,790	\$ 5,461,182	\$ 758,533	\$ 6,219,715
Fifth Unit (U-3):	Aug-22	May-23	\$ 4,564,878	\$ 456,488	\$ 5,021,366	\$ 55,200	\$ 546,410	\$ 5,622,976	\$ 780,533	\$ 6,403,509
Totals:			\$ 22,178,251	\$ 2,217,825	\$ 24,396,076	\$ 323,300	\$ 2,665,086	\$ 32,384,462	\$ 4,084,726	\$ 36,469,188

Notes:  
1. Unit 6 costs are final.  
2. Units 1-5 design costs are final.

Unit Order	First Progress Payment Date	Construction Start Date	Construction Finish Date
First Unit (U6)		18-Apr	19-Feb
Second Unit (U2)	19-Aug	19-Sep	20-Jun
Third Unit (U5)	20-Mar	20-Jun	21-Mar
Fourth Unit (U1)	20-Nov	21-Mar	21-Nov
Fifth Unit (U4)	21-Jul	21-Nov	22-Aug
Sixth Unit (U3)	22-Apr	22-Aug	23-May

- Start of Fourth Unit  
 - Completion of Fifth Unit

	Date	JPP Unit Rewind Construction Cost (w/Contingency)	Contractor Progress Payments	Unit # & PP #	USBR Project Management Cost	DHR PSA Monthly Charge	Total SLDMWA Non-Labor Cost	FY Non Labor Funding Needs	SLDMWA Labor Cost	SLDMWA FY Labor Cost	Total Cash Flow Needs	SLDMWA Fiscal Year Totals
Unit 6							\$ 5,000,000	\$ 5,000,000	\$ 403,298	\$ 403,298	\$ 5,403,298	\$ 5,403,298
Unit 2	Mar-19							\$ 3,969,374		\$ 368,578		\$ 4,337,952
	Apr-19											
	May-19											
	Jun-19											
	Jul-19	\$ -	\$ -		\$ 60,000	\$ 69,036	\$ 129,036		\$ 8,428		\$ 137,464	
	Aug-19	\$ 4,862,402	\$ 1,944,961	U2 PP1		\$ 55,237	\$ 2,000,197		\$ 8,575		\$ 2,008,772	
	Sep-19					\$ 55,237	\$ 55,237		\$ 308,700		\$ 363,937	
	Oct-19				\$ 50,000	\$ 55,237	\$ 105,237		\$ 8,575		\$ 113,812	
	Nov-19					\$ 55,237	\$ 55,237		\$ 8,575		\$ 63,812	
	Dec-19					\$ 55,237	\$ 55,237		\$ 8,575		\$ 63,812	
Unit 5	Jan-20		\$ 1,458,720	U2 PP2		\$ 55,237	\$ 1,513,957	\$ 7,481,881	\$ 8,575	\$ 1,368,690	\$ 1,522,532	\$ 8,850,571
	Feb-20					\$ 55,237	\$ 55,237		\$ 8,575		\$ 63,812	
	Mar-20	\$ 4,811,269	\$ 1,924,508	U5 PP1		\$ 55,237	\$ 1,979,744		\$ 8,575		\$ 1,988,319	
	Apr-20					\$ 55,237	\$ 55,237		\$ 8,575		\$ 63,812	
	May-20					\$ 56,172	\$ 56,172		\$ 308,700		\$ 364,872	
	Jun-20		\$ 1,458,720	U2 Final		\$ 56,172	\$ 1,514,893		\$ 320,130		\$ 1,835,023	
	Jul-20				\$ 51,500	\$ 56,172	\$ 107,672		\$ 11,857		\$ 119,529	
	Aug-20					\$ 56,172	\$ 56,172		\$ 11,857		\$ 68,029	
	Sep-20					\$ 56,172	\$ 56,172		\$ 11,857		\$ 68,029	
	Oct-20		\$ 1,443,381	U5 PP2		\$ 56,172	\$ 1,499,553		\$ 11,857		\$ 1,511,410	
Unit 1	Nov-20	\$ 4,826,548	\$ 1,930,619	U1 PP1		\$ 56,172	\$ 1,986,791	\$ 7,089,542	\$ 11,857	\$ 784,360	\$ 1,998,648	\$ 7,873,901
	Dec-20					\$ 56,172	\$ 56,172		\$ 11,857		\$ 68,029	
	Jan-21					\$ 56,172	\$ 56,172		\$ 320,130		\$ 376,302	
	Feb-21					\$ 57,130	\$ 57,130		\$ 331,440		\$ 388,570	
	Mar-21		\$ 1,443,381	U5 Final	\$ 52,700	\$ 57,130	\$ 1,553,211		\$ 12,276		\$ 1,565,486	
	Apr-21					\$ 57,130	\$ 57,130		\$ 12,276		\$ 69,406	
Unit 4	May-21					\$ 57,130	\$ 57,130		\$ 12,276		\$ 69,406	
	Jun-21		\$ 1,447,964	U1 PP2		\$ 57,130	\$ 1,505,094		\$ 12,276		\$ 1,517,370	
	Jul-21	\$ 4,874,492	\$ 1,949,797	U4 PP1		\$ 57,130	\$ 2,006,927		\$ 12,276		\$ 2,019,202	
	Aug-21					\$ 57,130	\$ 57,130		\$ 12,276		\$ 69,406	
	Sep-21					\$ 57,130	\$ 57,130		\$ 331,440		\$ 388,570	
	Oct-21					\$ 57,130	\$ 57,130		\$ 9,482		\$ 66,612	
	Nov-21		\$ 1,447,964	U1 Final		\$ 59,199	\$ 1,507,163		\$ 341,340		\$ 1,848,503	
	Dec-21				\$ 53,900	\$ 59,199	\$ 113,099		\$ 9,482		\$ 122,581	
	Jan-22					\$ 59,199	\$ 59,199		\$ 9,482		\$ 68,681	
	Feb-22					\$ 59,199	\$ 59,199		\$ 9,482		\$ 68,681	
Unit 3	Mar-22					\$ 59,199	\$ 59,199	\$ 7,173,332	\$ 9,482	\$ 789,046	\$ 68,681	\$ 7,962,379
	Apr-22	\$ 5,021,366	\$ 3,470,894	U3 PP1 & U4 PP2		\$ 59,199	\$ 3,530,093		\$ 9,482		\$ 3,539,574	
	May-22					\$ 59,199	\$ 59,199		\$ 9,482		\$ 68,681	
	Jun-22					\$ 59,199	\$ 59,199		\$ 9,482		\$ 68,681	
	Jul-22					\$ 59,199	\$ 59,199		\$ 341,340		\$ 400,539	
	Aug-22		\$ 1,462,347	U4 Final		\$ 54,641	\$ 1,516,988		\$ 351,240		\$ 1,868,228	
	Sep-22				\$ 55,200	\$ 54,641	\$ 109,841		\$ 9,757		\$ 119,598	
	Oct-22					\$ 54,641	\$ 54,641		\$ 9,757		\$ 64,398	
	Nov-22					\$ 54,641	\$ 54,641		\$ 9,757		\$ 64,398	
	Dec-22		\$ 1,506,410	U3 PP2		\$ 54,641	\$ 1,561,051		\$ 9,757		\$ 1,570,807	
	Jan-23					\$ 54,641	\$ 54,641		\$ 9,757		\$ 64,398	
	Feb-23					\$ 54,641	\$ 54,641		\$ 9,757		\$ 64,398	
Unit 2	Mar-23					\$ 54,641	\$ 54,641	\$ 1,670,333	\$ 351,240	\$ 370,753	\$ 405,881	\$ 2,041,086
	Apr-23					\$ 54,641	\$ 54,641		\$ 9,757		\$ 64,398	
	May-23		\$ 1,506,410	U3 Final		\$ 54,641	\$ 1,561,051		\$ 9,757		\$ 1,570,807	
	Jun-23						\$ -					
	Jul-23						\$ -					
	Aug-23						\$ -					
	Sep-23						\$ -					
	Oct-23						\$ -					
	Nov-23						\$ -					
	Dec-23						\$ -					
	Jan-24						\$ -					
	Feb-24						\$ -					
Totals:		\$ 24,396,076	\$ 24,396,076		\$ 323,300	\$ 2,665,086	\$ 32,384,462	\$ 32,384,462	\$ 4,084,726	\$ 4,084,726	\$ 36,469,188	\$ 36,469,188

# San Luis & Delta-Mendota Water Authority

## Activity Agreements Budget to Actual

### Paid/Pending Comparison Summary

March 1, 2020 through August 31, 2020

FAC 10/5/20 & BOD 10/8/20

		FY Budget 3/1/20 - 2/28/21	Actual To Date Paid/Pending 3/1/20 - 8/31/20	% of Budget	Amount Remaining
03	General Membership	756,961	289,772	38.28%	467,189
05	Leg/CVP Operations	5,028,999	1,989,931	39.57%	3,039,068
06	Reallocation Agreement	0	0	0.00%	0
35	Contract Renewal Coordinator	51,868	24	0.05%	51,844
09	Leg/CVP Operations #3	0	0	0.00%	0
28	Yuba County Water Transfers	3,420	11,044	322.91% 1	(7,624)
22	Grassland Basin Drainage #3A	2,237,570	774,327	34.61%	1,463,243
64	SGMA - Northern Delta-Mendota Region	832,572	184,957	22.22%	647,615
65	SGMA - Central Delta-Mendota Region	832,572	200,970	24.14%	631,602
67	Integrated Regional Water Management	229,754	78,850	34.32%	150,904
68	Los Vaqueros Reservoir Expansion	43,932	7,252	16.51%	36,680
44	Exchange Contractors - 5 Year Transfer	11,206	998	8.90%	10,208
56	Long-Term North to South Water Transfer	172,565	78,347	45.40%	94,218
16	DHCCP	5,472	131	2.40%	5,341
TOTAL		10,206,891	3,616,604	35.43%	6,590,287
		6/ 12 X 10,206,891	\$ 5,103,446	41.67%	
		Budget vs. Actual	1,486,842		

Notes:

1. Trending over budget due to Special Projects Coordinator salary expense.

SAN LUIS & DELTA-MENDOTA WATER AUTHORITY  
ACTUAL EXPENSE - PAID/PENDING  
ACTIVITY AGREEMENTS BUDGET TO ACTUAL  
Report Period 3/1/20 - 8/31/20  
FAC 10/5/20

Report Period 3/1/20 - 8/31/20		03	05	06	35	09	28	22	64	65	67	68	44	56	16	
FAC 10/5/20		Actual to Date Paid/Pending Detail by Fund														
Direct Expenses		Total	General Membership (03)	Leg Ops (05)	Reallo Agreement (06)	Contract Renewal Coordinator (35)	Leg/Op #3 (09)	Yuba Co. Water Trans. (28) Sub Fund of Leg/Op#3	GBD Dr #3A (22)	SGMA Northern Delta-Mendota Region (64)	SGMA Central Delta-Mendota Region (65)	IRWM (67)	Los Vaqueros Reservoir Expansion Proj (68)	Exchange Contractor 5 Year Transfer (44)	Long-Term North to South Water Transfers (56)	DHCCP (16)
Legal:																
	Linneman et al	\$ 7,217		\$ -				\$ -	\$ 7,217							
	Kronick Moskovitz et al	\$ 485,511		\$ 433,399		\$ -			\$ 28,988						\$ 23,124	
	Kronick Moskovitz et al (annual costs)	\$ 10,600		\$ 10,600		\$ -										
	Pioneer Law Group	\$ 114,516		\$ 42,489					\$ 38,659						\$ 33,368	
	Somach Simmons & Dunn	\$ 6,752		\$ 6,682					\$ 70							
	Additional O&M Legal Support	\$ -														
	Baker Manock & Jensen	\$ 77,536							\$ -	\$ 32,907	\$ 41,847	\$ 2,782				
	Additional GBD Legal Support (NEPA, CWA, etc.)	\$ 29,836							\$ 29,836							
	Technical Legal Support	\$ -		\$ -												
	Legal Contingency	\$ 66,797		\$ 66,797												
	Sub Total	\$ 798,765	\$ -	\$ 559,968	\$ -	\$ -	\$ -	\$ -	\$ 104,769	\$ 32,907	\$ 41,847	\$ 2,782	\$ -	\$ -	\$ 56,492	\$ -
Technical:																
	Direct Funding / Water Storage Studies	\$ 1,073,560		\$ 1,073,560												
	Science Program	\$ 15,000		\$ 15,000												
	Previous Technical Project Commitment	\$ 12,745		\$ 12,745												
	Sub Total	\$ 1,101,304	\$ -	\$ 1,101,304	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Legislative Advocacy/Public Information Representation:																
	Federal Representation	\$ 100,200		\$ 100,200												
	State Representation	\$ 9,800		\$ 9,800												
	Public Information / Communication	\$ 50,000	\$ 50,000													
	Sub Total	\$ 160,000	\$ 50,000	\$ 110,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Other Professional Services:																
	SGMA Services	\$ 223,640								\$ 108,401	\$ 115,239					
	Integrated Regional Water Management	\$ 65,858										\$ 65,858				
	Sub Total	\$ 289,498	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 108,401	\$ 115,239	\$ 65,858	\$ -	\$ -	\$ -	\$ -
Grassland Basin Drainage:																
	GBD Specific	\$ 421,537							\$ 421,537							
	New UA Mud Slough Mitigation	\$ -							\$ -							
	Use of Drain	\$ 9,486							\$ 9,486							
	Biological Monitoring	\$ 100,471							\$ 100,471							
	Groundwater WDR Specific	\$ 134,196							\$ 134,196							
	Sub Total	\$ 665,691	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 665,691	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
OTHER:																
	DHCCP Debt Service on Bond/Arbitrage/Trustee Admin	\$ -														
	Executive Director	\$ 130,494	\$ 93,020	\$ 37,475												
	Executive Assistant	\$ 20,799	\$ 11,818	\$ 8,982												
	Special Projects Coordinator	\$ 21,950											\$ 4,342	\$ 774	\$ 16,835	
	General Counsel	\$ 97,350	\$ 66,046	\$ 31,304												
	Water Policy Director	\$ 119,596		\$ 104,686						\$ 7,514	\$ 7,396					
	Science Manager/Special Projects Manager	\$ 19,794		\$ 19,794												
	In-House Staff	\$ 102,634	\$ -	\$ -		\$ 24		\$ 11,044	\$ 3,597	\$ 34,560	\$ 34,914	\$ 10,211	\$ 2,910	\$ 224	\$ 5,020	\$ 131
	Law Clerk	\$ -	\$ -													
	Sacramento Administrative Office (SAO)	\$ 12,968	\$ 5,898	\$ 7,070	\$ -		\$ -	\$ -								
	Other Services & Expenses	\$ 3,003	\$ 872	\$ 414	\$ -		\$ -	\$ -	\$ -	\$ 859	\$ 859	\$ -				
	License & Continuing Education	\$ 4,656	\$ -	\$ 4,656	\$ -		\$ -	\$ -		\$ -	\$ -					
	Organizational Membership	\$ 58,583	\$ 58,583													
	Conferences & Training	\$ 840	\$ (435)	\$ 880	\$ -		\$ -	\$ -		\$ 198	\$ 198	\$ -				
	Travel/Mileage	\$ 2,139	\$ 908	\$ 1,166	\$ -		\$ -	\$ -		\$ 32	\$ 32	\$ -				
	Group Meetings	\$ 1,421	\$ 1,189	\$ 232	\$ -		\$ -	\$ -		\$ -	\$ -	\$ -				
	Telephone	\$ 5,119	\$ 1,874	\$ 2,001	\$ -		\$ -	\$ -	\$ 271	\$ 487	\$ 487	\$ -				
	Sub Total	\$ 601,347	\$ 239,772	\$ 218,659	\$ -	\$ 24	\$ -	\$ 11,044	\$ 3,868	\$ 43,649	\$ 43,885	\$ 10,211	\$ 7,252	\$ 998	\$ 21,855	\$ 131
Total Expenditures		\$ 3,616,604	\$ 289,772	\$ 1,989,931	\$ -	\$ 24	\$ -	\$ 11,044	\$ 774,327	\$ 184,957	\$ 200,970	\$ 78,850	\$ 7,252	\$ 998	\$ 78,347	\$ 131

Subject to rounding

SAN LUIS & DELTA-MENDOTA WATER AUTHORITY  
AMOUNT REMAINING  
ACTIVITY AGREEMENTS BUDGET TO ACTUAL  
Report Period 3/1/20 - 8/31/20  
FAC 10/5/20

Report Period 3/1/20 - 8/31/20		03	05	06	35	09	28	22	64	65	67	68	44	56	16	
FAC 10/5/20		Amount Remaining Detail by Fund														
Direct Expenses		Total	General Membership (03)	Leg Ops (05)	Reallo Agreement (06)	Contract Renewal Coordinator (35)	Leg/Op #3 (09)	Yuba Co. Water Trans. (28) Sub Fund of Leg/Op#3	GBD Dr #3A (22)	SGMA Northern Delta-Mendota Region (64)	SGMA Central Delta-Mendota Region (65)	IRWM (67)	Los Vaqueros Reservoir Expansion Proj (68)	Exchange Contractor 5 Year Transfer (44)	Long-Term North to South Water Transfers (56)	DHCCP (16)
Legal:																
	Linneman et al	\$ 21,283		\$ 3,500				\$ -	\$ 17,783							
	Kronick Moskovitz et al	\$ 564,489		\$ 566,601		\$ 50,000		\$ (28,988)							\$ (23,124)	
	Kronick Moskovitz et al (annual costs)	\$ 6,900		\$ 6,400		\$ 500										
	Pioneer Law Group	\$ 93,485		\$ (22,489)				\$ 11,342							\$ 104,632	
	Somach Simmons & Dunn	\$ 43,248		\$ 33,318				\$ 9,930								
	Additional O&M Legal Support	\$ -														
	Baker Manock & Jensen	\$ (24,256)						\$ -	\$ (9,867)	\$ (18,807)	\$ 4,418					
	Additional GBD Legal Support (NEPA, CWA, etc.)	\$ 60,164						\$ 60,164								
	Technical Legal Support	\$ 150,000		\$ 150,000												
	Legal Contingency	\$ 268,203		\$ 268,203												
	Sub Total	\$ 1,183,515	\$ -	\$ 1,005,532	\$ -	\$ 50,500	\$ -	\$ -	\$ 70,231	\$ (9,867)	\$ (18,807)	\$ 4,418	\$ -	\$ -	\$ 81,508	\$ -
Technical:																
	Direct Funding / Water Storage Studies	\$ 426,440		\$ 426,440												
	Science Program	\$ 485,000		\$ 485,000												
	Previous Technical Project Commitment	\$ 348,095		\$ 348,095												
	Sub Total	\$ 1,259,536	\$ -	\$ 1,259,536	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Legislative Advocacy/Public Information Representation:																
	Federal Representation	\$ 155,825		\$ 155,825												
	State Representation	\$ 1,182		\$ 1,182												
	Public Information / Communication	\$ 71,550	\$ 71,550													
	Sub Total	\$ 228,557	\$ 71,550	\$ 157,007	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Other Professional Services:																
	SGMA Services	\$ 964,442								\$ 485,640	\$ 478,802					
	Integrated Regional Water Management	\$ 86,142										\$ 86,142				
	Sub Total	\$ 1,050,584	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 485,640	\$ 478,802	\$ 86,142	\$ -	\$ -	\$ -	\$ -
Grassland Basin Drainage:																
	GBD Specific	\$ 678,655						\$ 678,655								
	New UA Mud Slough Mitigation	\$ 100,000						\$ 100,000								
	Use of Drain	\$ 100,514						\$ 100,514								
	Biological Monitoring	\$ 267,029						\$ 267,029								
	Groundwater WDR Specific	\$ 238,282						\$ 238,282								
	Sub Total	\$ 1,384,479	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,384,479	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
OTHER:																
	DHCCP Debt Service on Bond/Arbitrage/Trustee Admin	\$ -														
	Executive Director	\$ 132,598	\$ 82,375	\$ 50,222												
	Executive Assistant	\$ 28,101	\$ 12,632	\$ 15,468												\$ -
	Special Projects Coordinator	\$ 55,913											\$ 34,590	\$ 7,012	\$ 14,310	
	General Counsel	\$ 123,849	\$ 81,420	\$ 42,429	\$ -		\$ -				\$ -					
	Water Policy Director	\$ 316,061		\$ 153,081						\$ 81,431	\$ 81,549					
	Science Manager/Special Projects Manager	\$ 230,206		\$ 230,206												
	In-House Staff	\$ 282,267	\$ 65,000	\$ 30,780		\$ 1,344		\$ (7,624)	\$ 6,403	\$ 71,486	\$ 71,132	\$ 34,718	\$ 2,090	\$ 3,196	\$ (1,600)	\$ 5,341
	Law Clerk	\$ 40,000	\$ 40,000													
	Sacramento Administrative Office (SAO)	\$ 42,033	\$ 4,103	\$ 37,930	\$ -		\$ -	\$ -								
	Other Services & Expenses	\$ 51,782	\$ 20,688	\$ 5,586	\$ -		\$ -	\$ -	\$ 1,600	\$ 7,641	\$ 7,641	\$ 8,625				
	License & Continuing Education	\$ 144	\$ 1,750	\$ (2,106)	\$ -		\$ -	\$ -		\$ 250	\$ 250					
	Organizational Membership	\$ 31,667	\$ 31,667													
	Conferences & Training	\$ 27,460	\$ 5,060	\$ 7,795	\$ -		\$ -	\$ -		\$ 4,803	\$ 4,803	\$ 5,000				
	Travel/Mileage	\$ 103,986	\$ 45,217	\$ 38,834	\$ -		\$ -	\$ -		\$ 4,968	\$ 4,968	\$ 10,000				
	Group Meetings	\$ 11,579	\$ 4,811	\$ 4,768	\$ -		\$ -	\$ -		\$ 500	\$ 500	\$ 1,000				
	Telephone	\$ 5,971	\$ 916	\$ 1,999	\$ -		\$ -	\$ -	\$ 529	\$ 764	\$ 763	\$ 1,000				
	Sub Total	\$ 1,483,615	\$ 395,639	\$ 616,993	\$ -	\$ 1,344	\$ -	\$ (7,624)	\$ 8,532	\$ 171,842	\$ 171,606	\$ 60,343	\$ 36,680	\$ 10,208	\$ 12,710	\$ 5,341
Total Expenditures		\$ 6,590,287	\$ 467,189	\$ 3,039,068	\$ -	\$ 51,844	\$ -	\$ (7,624)	\$ 1,463,243	\$ 647,615	\$ 631,602	\$ 150,904	\$ 36,680	\$ 10,208	\$ 94,218	\$ 5,341

Subject to rounding



SAN LUIS & DELTA-MENDOTA WATER AUTHORITY  
SUMMARY ACTUAL EXPENSE - PAID/PENDING  
ACTIVITY AGREEMENTS BUDGET TO ACTUAL  
Report Period 3/1/20 - 8/31/20  
FAC 10/5/20

	1	2	3	4	5	
Direct Expenses	Budget Excludes DMC and DHCCP Debt Service	Actual to Date Paid/Pending 3/1/20-8/31/20 Excludes DMC and DHCCP Debt Service	Variance Budget vs Actual Paid/Pending	6 months of Budget Excludes DMC and DHCCP Debt Service	Variance 6 months of Budget vs Actual Paid/Pending	
Legal:			(1-2)		(4 - 2)	
1 Linneman et al	\$ 28,500	\$ 7,217	\$ 21,283	\$ 14,250	\$ 7,033	1
2 Kronick Moskovitz et al	\$ 1,050,000	\$ 485,511	\$ 564,489	\$ 525,000	\$ 39,489	2
3 Kronick Moskovitz et al (annual costs)	\$ 17,500	\$ 10,600	\$ 6,900	\$ 8,750	\$ (1,850)	3
4 Pioneer Law Group	\$ 208,000	\$ 114,516	\$ 93,485	\$ 104,000	\$ (10,516)	4
6 Somach Simmons & Dunn	\$ 50,000	\$ 6,752	\$ 43,248	\$ 25,000	\$ 18,248	6
6 Additional O&M Legal Support	\$ -	\$ -	\$ -	\$ -	\$ -	6
7 Baker Manock & Jensen	\$ 53,280	\$ 77,536	\$ (24,256)	\$ 26,640	\$ (50,896)	7
8 Additional GBD Legal Support (NEPA, CWA, etc.)	\$ 90,000	\$ 29,836	\$ 60,164	\$ 45,000	\$ 15,164	8
9 Technical Legal Support	\$ 150,000	\$ -	\$ 150,000	\$ 75,000	\$ 75,000	9
10 Legal Contingency	\$ 335,000	\$ 66,797	\$ 268,203	\$ 167,500	\$ 100,703	10
Sub Total	\$ 1,982,280	\$ 798,765	\$ 1,183,515	\$ 991,140	\$ 192,375	
Technical:						
11 Direct Funding / Water Storage Studies	\$ 1,500,000	\$ 1,073,560	\$ 426,440	\$ 750,000	\$ (323,560)	11
12 Science Program	\$ 500,000	\$ 15,000	\$ 485,000	\$ 250,000	\$ 235,000	12
13 Previous Technical Project Commitment	\$ 360,840	\$ 12,745	\$ 348,095	\$ 180,420	\$ 167,675	13
Sub Total	\$ 2,360,840	\$ 1,101,304	\$ 1,259,536	\$ 1,180,420	\$ 79,116	
Legislative Advocacy/Public Information Representation:						
14 Federal Representation	\$ 256,025	\$ 100,200	\$ 155,825	\$ 128,013	\$ 27,813	14
15 State Representation	\$ 10,982	\$ 9,800	\$ 1,182	\$ 5,491	\$ (4,309)	15
16 Public Information / Communication	\$ 121,550	\$ 50,000	\$ 71,550	\$ 60,775	\$ 10,775	16
Sub Total	\$ 388,557	\$ 160,000	\$ 228,557	\$ 194,279	\$ 34,279	
Other Professional Services:						
17 SGMA Services	\$ 1,188,082	\$ 223,640	\$ 964,442	\$ 594,041	\$ 370,401	17
18 Integrated Regional Water Management	\$ 152,000	\$ 65,858	\$ 86,142	\$ 76,000	\$ 10,142	18
Sub Total	\$ 1,340,082	\$ 289,498	\$ 1,050,584	\$ 670,041	\$ 380,543	
Grassland Basin Drainage:						
19 GBD Specific	\$ 1,100,192	\$ 421,537	\$ 678,655	\$ 550,096	\$ 128,559	19
20 New UA Mud Slough Mitigation	\$ 100,000	\$ -	\$ 100,000	\$ 50,000	\$ 50,000	20
21 Use of Drain	\$ 110,000	\$ 9,486	\$ 100,514	\$ 55,000	\$ 45,514	21
22 Biological Monitoring	\$ 367,500	\$ 100,471	\$ 267,029	\$ 183,750	\$ 83,279	22
23 Groundwater WDR Specific	\$ 372,478	\$ 134,196	\$ 238,282	\$ 186,239	\$ 52,043	23
Sub Total	\$ 2,050,170	\$ 665,691	\$ 1,384,479	\$ 1,025,085	\$ 359,394	
OTHER:						
24 DHCCP Debt Service on Bond/Arbitrage/Trustee Admin						24
25 Executive Director	\$ 263,092	\$ 130,494	\$ 132,598	\$ 131,546	\$ 1,052	25
26 Executive Assistant	\$ 48,900	\$ 20,799	\$ 28,101	\$ 24,450	\$ 3,651	26
27 Special Projects Coordinator	\$ 77,863	\$ 21,950	\$ 55,913	\$ 38,932	\$ 16,981	27
28 General Counsel	\$ 221,199	\$ 97,350	\$ 123,849	\$ 110,600	\$ 13,249	28
29 Water Policy Director	\$ 257,767	\$ 119,596	\$ 138,171	\$ 128,884	\$ 9,287	29
30 Science Manager/Special Projects Manager	\$ 250,000	\$ 19,794	\$ 230,206	\$ 125,000	\$ 105,206	30
31 In-House Staff	\$ 562,791	\$ 91,590	\$ 471,201	\$ 281,396	\$ 189,805	31
32 Law Clerk	\$ 40,000	\$ -	\$ 40,000	\$ 20,000	\$ 20,000	32
33 Sacramento Administrative Office (SAO)	\$ 55,000	\$ 12,968	\$ 42,033	\$ 27,500	\$ 14,533	33
34 Other Services & Expenses	\$ 54,785	\$ 1,285	\$ 53,500	\$ 27,393	\$ 26,107	34
35 License & Continuing Education	\$ 4,800	\$ 4,656	\$ 144	\$ 2,400	\$ (2,256)	35
36 Organizational Membership	\$ 90,250	\$ 60,301	\$ 29,949	\$ 45,125	\$ (15,176)	36
37 Conferences & Training	\$ 28,300	\$ 840	\$ 27,460	\$ 14,150	\$ 13,310	37
38 Travel/Mileage	\$ 106,125	\$ 2,139	\$ 103,986	\$ 53,063	\$ 50,924	38
39 Group Meetings	\$ 13,000	\$ 1,421	\$ 11,579	\$ 6,500	\$ 5,079	39
40 Telephone	\$ 11,090	\$ 16,162	\$ (5,072)	\$ 5,545	\$ (10,617)	40
Sub Total	\$ 2,084,962	\$ 601,347	\$ 1,483,615	\$ 1,042,481	\$ 441,134	
Total Expenditures	\$ 10,206,891	\$ 3,616,604	\$ 6,590,287	\$ 5,103,446	\$ 1,486,842	

Notes:

Subject to rounding

**SAN LUIS & DELTA-MENDOTA WATER AUTHORITY**  
**MARCH 1, 2020 - FEBRUARY 28, 2021**  
**GENERAL MEMBERSHIP (FUND 03)**  
**ACTIVITY AGREEMENTS BUDGET TO ACTUAL**

Report Period 3/1/20 - 8/31/20

FAC 10/5/20

<b>EXPENDITURES</b>	<b>Annual Budget</b>	<b>Paid/ Pending</b>	<b>Additional Pending</b>	<b>Total Expenses</b>	<b>Amount Remaining</b>	<b>% of Amt Remaining</b>	<b>Expenses Through</b>
<u>Legislative Advocacy/Public Info Representation:</u>							
Public Information / Communication	\$ 121,550	\$50,000	\$ -	\$ 50,000	\$ 71,550	59%	7/31/20
<u>Other:</u>							
Executive Director	\$ 175,395	\$ 93,020	\$ -	\$ 93,020	\$ 82,375	47%	
Executive Assistant	\$ 24,450	\$ 11,818	\$ -	\$ 11,818	\$ 12,632	52%	
General Counsel	\$ 147,466	\$ 66,046	\$ -	\$ 66,046	\$ 81,420	55%	
In-House Staff	\$ 65,000	\$ -	\$ -	\$ -	\$ 65,000	100%	
Law Clerk	\$ 40,000	\$ -	\$ -	\$ -	\$ 40,000	100%	
Sacramento Administrative Office (SAO)	\$ 10,000	\$ 5,898	\$ -	\$ 5,898	\$ 4,103	41%	8/31/20
Other Services & Expenses	\$ 21,560	\$ 872	\$ -	\$ 872	\$ 20,688	96%	
License & Continuing Education	\$ 1,750	\$ -	\$ -	\$ -	\$ 1,750	100%	
Organizational Membership	\$ 90,250	\$ 58,583	\$ -	\$ 58,583	\$ 31,667	35%	7/31/20
Conferences & Training	\$ 4,625	\$ (435)	\$ -	\$ (435)	\$ 5,060	109%	
Travel/Mileage	\$ 46,125	\$ 908	\$ -	\$ 908	\$ 45,217	98%	
Group Meetings	\$ 6,000	\$ 1,189	\$ -	\$ 1,189	\$ 4,811	80%	
Telephone	\$ 2,790	\$ 1,874	\$ -	\$ 1,874	\$ 916	33%	
<b>Total Expenditures</b>	<b>\$ 756,961</b>	<b>\$ 289,772</b>	<b>\$ -</b>	<b>\$ 289,772</b>	<b>\$ 467,189</b>	<b>62%</b>	

Note: Refund of Cancelled Conference original expense 2/10/20.



**SAN LUIS & DELTA-MENDOTA WATER AUTHORITY**  
**MARCH 1, 2020 - FEBRUARY 28, 2021**  
**LEG & CVP OPERATIONAL AFFAIRS (FUND 05)**  
**ACTIVITY AGREEMENTS BUDGET TO ACTUAL**

**Report Period 3/1/20 - 8/31/20**

**FAC 10/5/20**

<b>EXPENDITURES</b>	<b>Annual Budget</b>	<b>Paid/ Pending</b>	<b>Additional Pending</b>	<b>Total Expenses</b>	<b>Amount Remaining</b>	<b>% of Amt Remaining</b>	<b>Expenses Through</b>
<u>Legal:</u>							
Linneman et al	\$ 3,500	\$ -	\$ -	\$ -	\$ 3,500	100%	
Kronick Moskovitz et al	\$ 1,000,000	\$ 433,399	\$ -	\$ 433,399	\$ 566,601	57%	7/31/20
Kronick Moskovitz et al (annual cost)	\$ 17,000	\$ 7,141	\$ 3,459	\$ 10,600	\$ 6,400	38%	7/31/20
Pioneer Law Group	\$ 20,000	\$ 42,489		\$ 42,489	\$ (22,489)	-112%	
Somach Simmons & Dunn	\$ 40,000	\$ 6,682	\$ -	\$ 6,682	\$ 33,318	83%	
Technical Legal Support	\$ 150,000	\$ -	\$ -	\$ -	\$ 150,000	100%	
Legal Contingency	\$ 335,000	\$ 66,797	\$ -	\$ 66,797	\$ 268,203	80%	8/31/20
<u>Technical:</u>							
		<b>Paid Exp</b>	<b>Add Pend</b>				
Direct Funding							
Water Storage Studies (BF Sisk Dam Raise)	\$ 1,500,000	\$ 1,073,560	\$ -	\$ 1,073,560	\$ 426,440	28%	8/31/20
Science Program, Incl. CAMT Facilitation	\$ 500,000	\$ 15,000	\$ -	\$ 15,000	\$ 485,000	97%	
Previous Technical Project Commitment	\$ 360,840	\$ 12,745	\$ -	\$ 12,745	\$ 348,095	96%	
Fish Food							
eDNA							
Delta Following Pilot Prgm - Land IQ		\$ 6,607	\$ -				
Tule Red Agreement - USGS		\$ 4,315					
Inter. Delta Export Effects		\$ 1,823					
FY18 - Delta Smelt ITL							
Essex/Hansen - SWC							
<u>Legislative Advocacy/Public Info Representation:</u>							
Federal Representation	\$ 256,025	\$ 100,200	\$ -	\$ 100,200	\$ 155,825	61%	7/31/20
State Representation	\$ 10,982	\$ 9,800	\$ -	\$ 9,800	\$ 1,182	11%	7/31/20
<u>Other:</u>							
Executive Director	\$ 87,697	\$ 37,475	\$ -	\$ 37,475	\$ 50,222	57%	
Executive Assistant	\$ 24,450	\$ 8,982	\$ -	\$ 8,982	\$ 15,468	63%	
General Counsel	\$ 73,733	\$ 31,304	\$ -	\$ 31,304	\$ 42,429	58%	
Water Policy Director	\$ 257,767	\$ 104,686	\$ -	\$ 104,686	\$ 153,081	59%	
Science Manager/Special Projects Manager	\$ 250,000	\$ 19,794	\$ -	\$ 19,794	\$ 230,206	92%	
In-House Staff	\$ 30,780	\$ -	\$ -	\$ -	\$ 30,780	100%	
Sacramento Administrative Office (SAO)	\$ 45,000	\$ 7,070	\$ -	\$ 7,070	\$ 37,930	84%	7/31/20
Other Services & Expenses	\$ 6,000	\$ 414	\$ -	\$ 414	\$ 5,586	93%	
License & Continuing Education	\$ 2,550	\$ 4,656	\$ -	\$ 4,656	\$ (2,106)	-83%	
Conferences & Training	\$ 8,675	\$ 880	\$ -	\$ 880	\$ 7,795	90%	
Travel/Mileage	\$ 40,000	\$ 1,166	\$ -	\$ 1,166	\$ 38,834	97%	
Group Meetings	\$ 5,000	\$ 232	\$ -	\$ 232	\$ 4,768	95%	
Telephone	\$ 4,000	\$ 2,001	\$ -	\$ 2,001	\$ 1,999	50%	
<b>Total Expenditures</b>	<b>\$ 5,028,999</b>	<b>\$ 1,986,471</b>	<b>\$ 3,459</b>	<b>\$ 1,989,931</b>	<b>\$ 3,039,068</b>	<b>60%</b>	
Remaining FY20 SFCWA Funds-No Impact on		Expense	Remaining				
SLDMWA Budget - Yellow Starthistle \$48,405		\$ 55,806	\$ -				

*Note: For Water Storage Studies (BF Sisk Dam Raise), \$279,062 was paid to BOR on 6/5/20 for the Sisk CFA. On 5/14/20, BOD approved the commitment of \$123,139 from the FY20 budget for direct funding of the FY21 Water Storage Studies.*

**SAN LUIS & DELTA-MENDOTA WATER AUTHORITY**  
**MARCH 1, 2020 - FEBRUARY 28, 2021**  
**REALLOCATION AGREEMENT (FUND 06)**  
**ACTIVITY AGREEMENTS BUDGET TO ACTUAL**

**Report Period 3/1/20 - 8/31/20**  
**FAC 10/5/20**

<b>EXPENDITURES</b>	<b>Annual Budget</b>	<b>Paid/ Pending</b>	<b>Additional Pending</b>	<b>Total Expenses</b>	<b>Amount Remaining</b>	<b>% of Amt Remaining</b>	<b>Expenses Through</b>
<u>Legal:</u>							
<u>Other:</u>							
General Counsel							
Sacramento Administrative Office (SAO)							
Other Services & Expenses							
License & Continuing Education							
Conferences & Training							
Travel/Mileage							
Group Meetings							
Telephone							
<b>Total Expenditures</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>0.00%</b>	

**SAN LUIS & DELTA-MENDOTA WATER AUTHORITY**  
**MARCH 1, 2020 - FEBRUARY 28, 2021**  
**CONTRACT RENEWAL COORDINATOR (FUND 35)**  
**ACTIVITY AGREEMENTS BUDGET TO ACTUAL**

**Report Period 3/1/20 - 8/31/20**  
**FAC 10/5/20**

<b>EXPENDITURES</b>	Annual Budget	Paid/ Pending	Additional Pending	Total Expenses	Amount Remaining	% of Amt Remaining	Expenses Through
<u>Legal:</u>							
Kronick Moskovitz et al	\$ 50,000	\$ -	\$ -	\$ -	\$ 50,000	100%	
Kronick Moskovitz et al (annual costs)	\$ 500	\$ -	\$ -	\$ -	\$ 500	100%	
<u>Other:</u>							
In-House Staff	\$ 1,368	\$ 24	\$ -	\$ 24	\$ 1,344	98%	
<b>Total Expenditures</b>	<b>\$ 51,868</b>	<b>\$ 24</b>	<b>\$ -</b>	<b>\$ 24</b>	<b>\$ 51,844</b>	<b>99.95%</b>	

SAN LUIS & DELTA-MENDOTA WATER AUTHORITY  
MARCH 1, 2020 - FEBRUARY 28, 2021  
LEG & CVP OPERATIONAL AFFAIRS #3 (FUND 09)  
ACTIVITY AGREEMENTS BUDGET TO ACTUAL

Report Period 3/1/20 - 8/31/20  
FAC 10/5/20

EXPENDITURES	Annual Budget	Paid/ Pending	Additional Pending	Total Expenses	Amount Remaining	% of Amt Remaining	Expenses Through
Other:							
General Counsel							
Sacramento Administrative Office (SAO)							
Other Services & Expenses							
License & Continuing Education							
Conferences & Training							
Travel & Mileage							
Group Meetings							
Telephone							
Total Expenditures	\$ -	\$ -	\$ -	\$ -	\$ -	0.00%	

**SAN LUIS & DELTA-MENDOTA WATER AUTHORITY**  
**MARCH 1, 2020 - FEBRUARY 28, 2021**  
**YUBA COUNTY WATER TRANSFERS**  
**ACTIVITY AGREEMENTS BUDGET TO ACTUAL**  
**Sub Fund of Leg Ops #3 (FUND 28)**

**Report Period 3/1/20 - 8/31/20**  
**FAC 10/5/20**

	Annual Budget	Paid/ Pending	Additional Pending	Total Expenses	Amount Remaining	% of Amt Remaining	Expenses Through
<b>EXPENDITURES</b>							
<u>Legal:</u>							
Linneman et al							
<u>Other:</u>							
General Counsel							
In-House Staff	\$ 3,420	\$ 11,044	\$ -	\$ 11,044	\$ (7,624)	-223%	
Sacramento Administrative Office (SAO)							
Other Services & Expenses							
License & Continuing Education							
Conferences & Training							
Travel/Mileage							
Group Meetings							
Telephone							
<b>Total Expenditures</b>	<b>\$ 3,420</b>	<b>\$ 11,044</b>	<b>\$ -</b>	<b>\$ 11,044</b>	<b>\$ (7,624)</b>	<b>-222.91%</b>	

**SAN LUIS & DELTA-MENDOTA WATER AUTHORITY**

**MARCH 1, 2020 - FEBRUARY 28, 2021**

**GRASSLAND BASIN DRAINAGE #3A (FUND 22)**

**ACTIVITY AGREEMENTS BUDGET TO ACTUAL**

**Report Period 3/1/20 - 8/31/20**

**FAC 10/5/20**

<b>EXPENDITURES</b>	<b>Annual Budget</b>	<b>Paid/ Pending</b>	<b>Additional Pending</b>	<b>Total Expenses</b>	<b>Amount Remaining</b>	<b>% of Amt Remaining</b>	<b>Expenses Through</b>
<u>Legal:</u>							
Linneman et al	\$ 20,000	\$ -	\$ -	\$ -	\$ 20,000	100.00%	
Linneman et al WDR Specific	\$ 5,000	\$ 7,217	\$ -	\$ 7,217	\$ (2,217)	-44.35%	
Kronick Moskovitz et al	\$ -	\$ 28,988	\$ -	\$ 28,988	\$ (28,988)	0.00%	8/31/20
Pioneer Law Group - CEQA Legal Consultant	\$ 50,000	\$ 38,659	\$ -	\$ 38,659	\$ 11,342	22.68%	8/31/20
Joe Cotchett	\$ 40,000	\$ 24,404	\$ -	\$ 24,404	\$ 15,596	38.99%	7/31/20
Somach Simmons & Dunn - CEQA Legal Consulta	\$ 10,000	\$ 70	\$ -	\$ 70	\$ 9,930	99.30%	
NEPA Support (New UA/Newman Land)	\$ 50,000	\$ 5,431	\$ -	\$ 5,431	\$ 44,569	89.14%	5/31/20
Baker Manock & Jensen	\$ -	\$ -	\$ -	\$ -	\$ -	0.00%	
<u>GBD Specific:</u>							
Drainage Coordinator (Summers)	\$ 200,000	\$ 77,346	\$ -	\$ 77,346	\$ 122,654	61.33%	7/31/20
Quality Data Processing/Load Calc (Summers)	\$ 123,000	\$ 48,608	\$ -	\$ 48,608	\$ 74,393	60.48%	7/31/20
Flow Calculation/Station Maint. (Summers)	\$ 55,000	\$ 23,200	\$ -	\$ 23,200	\$ 31,800	57.82%	7/31/20
Field Coordinator (PDD)	\$ 30,000	\$ 3,601	\$ -	\$ 3,601	\$ 26,399	88.00%	
Real Time Monitoring Equip (PDD)	\$ 13,000	\$ 120	\$ -	\$ 120	\$ 12,880	99.08%	
Panoche Creek Gauging Station	\$ 7,900	\$ -	\$ -	\$ -	\$ 7,900	100.00%	
Water Quality Monitoring (Reg. Sites)	\$ 161,000	\$ 67,737	\$ -	\$ 67,737	\$ 93,263	57.93%	7/31/20
Newman Water Costs	\$ 111,953	\$ -	\$ -	\$ -	\$ 111,953	100.00%	
Restoration of Mud Slough Channel (Newman L	\$ 180,000	\$ 170,150	\$ -	\$ 170,150	\$ 9,850	5.47%	7/31/20
Waste Discharge Permit Fees	\$ 42,839	\$ -	\$ -	\$ -	\$ 42,839	100.00%	
CEQA Support Susan Hootkins (Newman Land)	\$ 50,500	\$ 11,918	\$ -	\$ 11,918	\$ 38,583	76.40%	7/31/20
SJRIP Monitor Wells	\$ 25,000	\$ -	\$ -	\$ -	\$ 25,000	100.00%	
Drainage Management Plan	\$ 100,000	\$ 18,858	\$ -	\$ 18,858	\$ 81,142	81.14%	7/31/20
New UA Mud Slough Mitigation:							
Remove Sediment in SLD	\$ 100,000	\$ -	\$ -	\$ -	\$ 100,000	100.00%	
Use of Drain:							
Operation & Maintenance (PDD)	\$ 110,000	\$ 9,486	\$ -	\$ 9,486	\$ 100,514	91.38%	
Biological Monitoring:							
Biological Monitoring/Mitig Habitat	\$ 10,000	\$ -	\$ -	\$ -	\$ 10,000	100.00%	
Pacific Eco Risk	\$ 134,000	\$ 40,862	\$ -	\$ 40,862	\$ 93,138	69.51%	7/31/20
HT Harvey-SJRIP Egg Monitoring	\$ 123,500	\$ 59,609	\$ -	\$ 59,609	\$ 63,891	51.73%	6/30/20
Fish Biologist - Splittail/Sturgeon	\$ 100,000	\$ -	\$ -	\$ -	\$ 100,000	100.00%	
Groundwater WDR Specific:							
Membership Enrollment/List (Summers)	\$ 70,260	\$ 22,891	\$ -	\$ 22,891	\$ 47,369	67.42%	7/31/20
Farm Evaluation Plan (Summers)	\$ 45,000	\$ 19,961	\$ -	\$ 19,961	\$ 25,039	55.64%	7/31/20
NMP Summary Report	\$ 18,080	\$ 932	\$ -	\$ 932	\$ 17,148	94.84%	
MPEP Group Workplan	\$ 8,650	\$ 7,681	\$ -	\$ 7,681	\$ 969	11.20%	6/30/20
Groundwater Protection Formula	\$ 10,000	\$ 2,060	\$ -	\$ 2,060	\$ 7,940	79.40%	
Prioritization and Optimization Study-CVSalts	\$ 9,908	\$ -	\$ -	\$ -	\$ 9,908	100.00%	
Trend Monit Prgm	\$ 63,070	\$ 35,047	\$ -	\$ 35,047	\$ 28,023	44.43%	7/31/20
Develop Web Portal	\$ 4,200	\$ 523	\$ -	\$ 523	\$ 3,678	87.56%	
Collect State Board Fee	\$ 89,910	\$ 30,052	\$ -	\$ 30,052	\$ 59,858	66.58%	6/30/20
Annual Monitoring Report (Summers)	\$ 45,000	\$ 14,750	\$ -	\$ 14,750	\$ 30,251	67.22%	7/31/20
SQMP	\$ 4,700	\$ 300	\$ -	\$ 300	\$ 4,400	93.62%	
CVGMC Data	\$ 3,700	\$ -	\$ -	\$ -	\$ 3,700	100.00%	
<u>Other:</u>							
In-House Staff	\$ 10,000	\$ 3,597	\$ -	\$ 3,597	\$ 6,403	64.03%	
Other Services & Expenses	\$ 1,600	\$ -	\$ -	\$ -	\$ 1,600	100.00%	
Telephone	\$ 800	\$ 271	\$ -	\$ 271	\$ 529	66.12%	
<b>Total Expenditures</b>	<b>\$ 2,237,570</b>	<b>\$ 774,327</b>	<b>\$ -</b>	<b>\$ 774,327</b>	<b>\$ 1,463,243</b>	<b>65.39%</b>	

*Note:* On 9/18/20, committee approved services from Baker Manock & Jensen.

Per action taken at the 9/18/20 GBD Meeting, an additional expenditure of \$10,000 was approved for legal costs. This action will not result in an overall FY20 budget increase.

**SAN LUIS & DELTA-MENDOTA WATER AUTHORITY**  
**MARCH 1, 2020 - FEBRUARY 28, 2021**  
**SUSTAINABLE GROUNDWATER MANAGEMENT ACT SERVICES AGREEMENT**  
**ACTIVITY AGREEMENTS BUDGET TO ACTUAL**  
**NORTHERN DELTA-MENDOTA REGION (FUND 64)**

**Report Period 3/1/20 - 8/31/20**  
**FAC 10/5/20**

<b>EXPENDITURES</b>	<b>Annual Budget</b>	<b>Paid/ Pending</b>	<b>Additional Pending</b>	<b>Total Expenses</b>	<b>Amount Remaining</b>	<b>% of Amt Remaining</b>	<b>Expenses Through</b>
<u>Legal:</u>							
Outside Counsel	\$ 23,040	\$ 32,907	\$ -	\$ 32,907	\$ (9,867)	-43%	8/31/20
<u>Other Professional Services:</u>							
Contracts	\$ 594,041	\$ 108,401	\$ -	\$ 108,401	\$ 485,640	82%	6/30/20
<u>Other:</u>							
Program Mgr/Sr. Engineer/Water Policy Dir.	\$ 88,945	\$ 7,514	\$ -	\$ 7,514	\$ 81,431	92%	
SCADA Engineer	\$ 2,308	\$ -	\$ -	\$ -	\$ 2,308	100%	
Water Resources Coordinator	\$ 37,722	\$ 8,489	\$ -	\$ 8,489	\$ 29,233	77%	
Assistant Engineer 1	\$ 39,367	\$ -	\$ -	\$ -	\$ 39,367	100%	
Accounting	\$ 6,561	\$ 115	\$ -	\$ 115	\$ 6,446	98%	
General Counsel	\$ -	\$ 131	\$ -	\$ 131	\$ (131)	0%	
Hydrotech 3	\$ 20,088	\$ 5,679	\$ -	\$ 5,679	\$ 14,409	72%	
Contract Staff	\$ -	\$ 20,145	\$ -	\$ 20,145	\$ (20,145)	0%	
License & Continuing Education	\$ 250	\$ -	\$ -	\$ -	\$ 250	100%	
Conferences & Training	\$ 5,000	\$ 198	\$ -	\$ 198	\$ 4,803	96%	
Travel/Mileage	\$ 5,000	\$ 32	\$ -	\$ 32	\$ 4,968	99%	
Group Meetings	\$ 500	\$ -	\$ -	\$ -	\$ 500	100%	
Telephone	\$ 1,250	\$ 487	\$ -	\$ 487	\$ 764	61%	
Equipment and Tools	\$ 4,175	\$ 859	\$ -	\$ 859	\$ 3,316	79%	
Software	\$ 4,325	\$ -	\$ -	\$ -	\$ 4,325	100%	
<b>Total Expenditures</b>	<b>\$ 832,572</b>	<b>\$ 184,957</b>	<b>\$ -</b>	<b>\$ 184,957</b>	<b>\$ 647,615</b>	<b>77.78%</b>	

Note: 7/30/20 committee meeting approved the extension of EKI'S services through the end of this fiscal year.

**SAN LUIS & DELTA-MENDOTA WATER AUTHORITY**  
**MARCH 1, 2020 - FEBRUARY 28, 2021**  
**SUSTAINABLE GROUNDWATER MANAGEMENT ACT SERVICES AGREEMENT**  
**ACTIVITY AGREEMENTS BUDGET TO ACTUAL**  
**CENTRAL DELTA-MENDOTA REGION (FUND 65)**

**Report Period 3/1/20 - 8/31/20**  
**FAC 10/5/20**

<b>EXPENDITURES</b>	<b>Annual Budget</b>	<b>Paid/ Pending</b>	<b>Additional Pending</b>	<b>Total Expenses</b>	<b>Amount Remaining</b>	<b>% of Amt Remaining</b>	<b>Expenses Through</b>
<u>Legal:</u>							
Outside Counsel	\$ 23,040	\$ 41,847	\$ -	\$ 41,847	\$ (18,807)	-82%	8/31/20
<u>Other Professional Services:</u>							
Contracts	\$ 594,041	\$ 115,239	\$ -	\$ 115,239	\$ 478,802	81%	8/31/20
<u>Other:</u>							
Program Mgr/Sr. Engineer/Water Policy Dir.	\$ 88,945	\$ 7,396	\$ -	\$ 7,396	\$ 81,549	92%	
SCADA Engineer	\$ 2,308	\$ -	\$ -	\$ -	\$ 2,308	100%	
Water Resources Coordinator	\$ 37,722	\$ 8,490	\$ -	\$ 8,490	\$ 29,232	77%	
Assistant Engineer 1	\$ 39,367	\$ -	\$ -	\$ -	\$ 39,367	100%	
Accounting	\$ 6,561	\$ 115	\$ -	\$ 115	\$ 6,446	98%	
General Counsel	\$ -	\$ 1,641	\$ -	\$ 1,641	\$ (1,641)	0%	
Hydrotech 3	\$ 20,088	\$ 6,174	\$ -	\$ 6,174	\$ 13,914	69%	
Contract Staff	\$ -	\$ 18,494	\$ -	\$ 18,494	\$ (18,494)	0%	
Other Services & Expenses	\$ -	\$ -	\$ -	\$ -	\$ -	0%	
License & Continuing Education	\$ 250	\$ -	\$ -	\$ -	\$ 250	100%	
Conferences & Training	\$ 5,000	\$ 198	\$ -	\$ 198	\$ 4,803	96%	
Travel/Mileage	\$ 5,000	\$ 32	\$ -	\$ 32	\$ 4,968	99%	
Group Meetings	\$ 500	\$ -	\$ -	\$ -	\$ 500	100%	
Telephone	\$ 1,250	\$ 487	\$ -	\$ 487	\$ 763	61%	
Equipment and Tools	\$ 4,175	\$ 859	\$ -	\$ 859	\$ 3,316	79%	
Software	\$ 4,325	\$ -	\$ -	\$ -	\$ 4,325	100%	
<b>Total Expenditures</b>	<b>\$ 832,572</b>	<b>\$ 200,970</b>	<b>\$ -</b>	<b>\$ 200,970</b>	<b>\$ 631,602</b>	<b>76%</b>	

Note: 7/30/20 committee meeting approved the extension of EKI'S services through the end of this fiscal year.



**SAN LUIS & DELTA-MENDOTA WATER AUTHORITY**  
**MARCH 1, 2020 - FEBRUARY 28, 2021**  
**INTEGRATED REGIONAL WATER MANAGEMENT (FUND 67)**  
**ACTIVITY AGREEMENTS BUDGET TO ACTUAL**

**Report Period 3/1/20 - 8/31/20**  
**FAC 10/5/20**

<b>EXPENDITURES</b>	<b>Annual Budget</b>	<b>Paid/ Pending</b>	<b>Additional Pending</b>	<b>Total Expenses</b>	<b>Amount Remaining</b>	<b>% of Amt Remaining</b>	<b>Expenses Through</b>
<u>Legal:</u>							
Outside Counsel	\$ 7,200	\$ 2,782	\$ -	\$ 2,782	\$ 4,418	61%	8/30/20
<u>Other Professional Services:</u>							
Contracts	\$ 130,000	\$ 58,995	\$ 6,863	\$ 65,858	\$ 64,142	49%	7/31/20
Stormwater Resources Plan	\$ 22,000	\$ -	\$ -	\$ -	\$ 22,000	100%	
<u>Other:</u>							
In-House Staff / Contract Staff	\$ 44,929	\$ 10,211	\$ -	\$ 10,211	\$ 34,718	77%	
Other Services & Expenses	\$ 8,625	\$ -	\$ -	\$ -	\$ 8,625	100%	
Conferences & Training	\$ 5,000	\$ -	\$ -	\$ -	\$ 5,000	100%	
Travel/Mileage	\$ 10,000	\$ -	\$ -	\$ -	\$ 10,000	100%	
Group Meetings	\$ 1,000	\$ -	\$ -	\$ -	\$ 1,000	100%	
Telephone	\$ 1,000	\$ -	\$ -	\$ -	\$ 1,000	100%	
<b>Total Expenditures</b>	<b>\$ 229,754</b>	<b>\$ 71,988</b>	<b>\$ 6,863</b>	<b>\$ 78,850</b>	<b>\$ 150,904</b>	<b>65.68%</b>	

**SAN LUIS & DELTA-MENDOTA WATER AUTHORITY**  
**MARCH 1, 2020 - FEBRUARY 28, 2021**  
**LOS VAQUEROS RESERVOIR EXPANSION PROJECT (FUND 68)**  
**ACTIVITY AGREEMENTS BUDGET TO ACTUAL**

**Report Period 3/1/20 - 8/31/20**  
**FAC 10/5/20**

	Annual Budget	Paid/ Pending	Additional Pending	Total Expenses	Amount Remaining	% of Amt Remaining	Expenses Through
<b>EXPENDITURES</b>							
<u>Other:</u>							
Special Projects Coordinator	\$ 38,932	\$ 4,342	\$ -	\$ 4,342	\$ 34,590	89%	
In-House Staff	\$ 5,000	\$ 2,910	\$ -	\$ 2,910	\$ 2,090	42%	
<b>Total Expenditures</b>	<b>\$ 43,932</b>	<b>\$ 7,252</b>	<b>\$ -</b>	<b>\$ 7,252</b>	<b>\$ 36,680</b>	<b>83.49%</b>	

**SAN LUIS & DELTA-MENDOTA WATER AUTHORITY**  
**MARCH 1, 2020 - FEBRUARY 28, 2021**  
**EXCHANGE CONTRACTOR 5-YEAR TRANSFER (FUND 44)**  
**ACTIVITY AGREEMENTS BUDGET TO ACTUAL**

**Report Period 3/1/20 - 8/31/20**  
**FAC 10/5/20**

<b>EXPENDITURES</b>	Annual Budget	Paid/ Pending	Additional Pending	Total Expenses	Amount Remaining	% of Amt Remaining	Expenses Through
<u>Other:</u>							
Special Projects Coordinator	\$ 7,786	\$ 774	\$ -	\$ 774	\$ 7,012	90%	
In-House Staff	\$ 3,420	\$ 224	\$ -	\$ 224	\$ 3,196	93%	
<b>Total Expenditures</b>	<b>\$ 11,206</b>	<b>\$ 998</b>	<b>\$ -</b>	<b>\$ 998</b>	<b>\$ 10,208</b>	<b>91.10%</b>	

**SAN LUIS & DELTA-MENDOTA WATER AUTHORITY**  
**MARCH 1, 2020 - FEBRUARY 28, 2021**  
**LONG-TERM NORTH TO SOUTH WATER TRANSFER PROGRAM (FUND 56)**  
**ACTIVITY AGREEMENTS BUDGET TO ACTUAL**

**Report Period 3/1/20 - 8/31/20**  
**FAC 10/5/20**

<b>EXPENDITURES</b>	<b>Annual Budget</b>	<b>Paid/ Pending</b>	<b>Additional Pending</b>	<b>Total Expenses</b>	<b>Amount Remaining</b>	<b>% of Amt Remaining</b>	<b>Expenses Through</b>
<u>Legal:</u>							
Pioneer Law Group	\$ 138,000	\$ 33,368	\$ -	\$ 33,368	\$ 104,632	76%	6/30/20
Kronick Moskowitz et al	\$ -	\$ 23,110	\$ 14	\$ 23,124	\$ (23,124)	0%	7/31/20
<u>Other:</u>							
Special Projects Coordinator	\$ 31,145	\$ 16,835	\$ -	\$ 16,835	\$ 14,310	46%	
In-House Staff	\$ 3,420	\$ 5,020	\$ -	\$ 5,020	\$ (1,600)	-47%	
<b>Total Expenditures</b>	<b>\$ 172,565</b>	<b>\$ 78,333</b>	<b>\$ 14</b>	<b>\$ 78,347</b>	<b>\$ 94,218</b>	<b>54.60%</b>	

SAN LUIS & DELTA-MENDOTA WATER AUTHORITY  
MARCH 1, 2020 - FEBRUARY 28, 2021  
DELTA HABITAT CONSERVATION & CONVEYANCE PROGRAM (FUND 16)  
ACTIVITY AGREEMENTS BUDGET TO ACTUAL

Report Period 3/1/20 - 8/31/20  
FAC 10/5/20

EXPENDITURES	Annual Budget	Paid/ Pending	Additional Pending	Total Expenses	Amount Remaining	% of Amt Remaining	Expenses Through
Other:							
DHCCP Debt Service on	\$ 2,460,000	Debt Service not reported with monthly budget to actual					2
Bond/Arbitrage/Trustee Admin							
In-House Staff	\$ 5,472	\$ 131	\$ -	\$ 131	\$ 5,341	98%	1
<b>Total Expenditures</b>	<b>\$ 2,465,472</b>	<b>\$ 131</b>	<b>\$ -</b>	<b>\$ 131</b>	<b>\$ 5,341</b>	<b>0.22%</b>	

1 = All Participants 2 = Financing Participants (Bond)



## MEMO

**TO:** Pablo Arroyave, Chief Operating Officer  
**FROM:** Joyce Machado, Director of Finance  
**SUBJECT:** FY21 O&M Budget to Actual Report  
**DATES:** 10.5.20 FAC and 10.8.20 BOD

### 2020 Water Year (FY 3/1/20-2/28/21) attachment 1

Self-Funding actual expenses (paid and pending) for SLDMWA Routine O&M through August 31, 2020 are under budget by \$2,607,660. Intertie DWR Conveyance activity through June 2020 has been minimal, which has contributed to the large result in positive spending.

### 2019 Water Year (FY 3/1/19-2/29/20)

The WY19 draft Contractor Records were mailed out on June 23, 2020 for water users to review their deliveries and water payments for accuracy. Minor exceptions were reported to SLDMWA by July 30<sup>th</sup> and those have been resolved. The goal is to complete the WY19 Final Accountings by end of December 2020.

### Outstanding

#### 2018 Water Year (FY 3/1/18-2/28/19) and 2017 Water Year (FY 3/1/17-2/28/18)

WY17 Final Accounting contractor records are complete and all was mailed to water users on September 28, 2020. Staff plans to distribute the WY18 Final Accountings soon.

#### Intertie O&M Cost Recovery

WY12 Intertie True-Up: outstanding, no cost recovery  
WY13 Intertie True-Up: outstanding, no cost recovery  
WY14 Intertie True-Up: outstanding, no cost recovery  
WY15 Intertie True-Up: outstanding, no cost recovery  
WY16 Intertie True-Up: outstanding, no cost recovery

**ANNUAL R, O&M BUDGET BY COST POOLS MARCH 1, 2020 - FEBRUARY 28, 2021**

						O'NEILL O&M			
	Total	UPPER	Intertie	Volta Wells	LWR/POOL	DIRECT	STORAGE	SL DRAIN	
DMC	\$ 7,767,111	\$ 4,686,675			\$ 3,080,436				
JPP	\$ 3,594,969	\$ 3,594,969							
WW	\$ 161,164	\$ 120,873			\$ 40,291				
Intertie O&M / Intertie DWR Conveyance	\$ 3,409,666		\$ 3,409,666						
Volta Wells (SLDMWA O&M + Monitoring)	\$ 156,834			\$ 156,834					
Pool	\$ 203,020				\$ 203,020				
O'Neill	\$ 3,036,146					\$ 2,702,170	\$ 333,976		
SL Drain	\$ 128,214							\$ 128,214	
	<u>\$ 18,457,122</u>	<u>\$ 8,402,516</u>	<u>\$ 3,409,666</u>	<u>\$ 156,834</u>	<u>\$ 3,323,747</u>	<u>\$ 2,702,170</u>	<u>\$ 333,976</u>	<u>\$ 128,214</u>	

**R, O&M BUDGET BY COST POOLS THROUGH August 31, 2020**

50.00%

						O'NEILL O&M			
	Total	UPPER	Intertie	Volta Wells	LWR	DIRECT	STORAGE	SL DRAIN	
DMC	\$ 3,883,556	\$ 2,343,337			\$ 1,540,218				
JPP	\$ 1,797,484	\$ 1,797,484							
WW	\$ 80,582	\$ 60,437			\$ 20,146				
Intertie & Intertie DWR Conveyance	\$ 1,704,833		\$ 1,704,833						
Volta Wells (SLDMWA O&M + Monitoring)	\$ 78,417			\$ 78,417					
Pool	\$ 101,510				\$ 101,510				
O'Neill	\$ 1,518,073					\$ 1,351,085	\$ 166,988		
SL Drain	\$ 64,107							\$ 64,107	
	<u>\$ 9,228,561</u>	<u>\$ 4,201,258</u>	<u>\$ 1,704,833</u>	<u>\$ 78,417</u>	<u>\$ 1,661,873</u>	<u>\$ 1,351,085</u>	<u>\$ 166,988</u>	<u>\$ 64,107</u>	

**R, O&M Actual COSTS BY COST POOLS THROUGH August 31, 2020**

						O'NEILL O&M			
	Total	UPPER	Intertie	Volta Wells	LWR	DIRECT	STORAGE	SL DRAIN	
DMC	\$ 3,190,190	\$ 1,924,961			\$ 1,265,229				
JPP	\$ 1,611,535	\$ 1,611,535							
WW	\$ 56,935	\$ 42,701			\$ 14,234				
Intertie Maint & DWR Conveyance	\$ 199,120		\$ 199,120						
Volta Wells (SLDMWA O&M + Monitoring)	\$ 2,235			\$ 2,235					
Pool	\$ 67,300				\$ 67,300				
O'Neill	\$ 1,367,999					\$ 1,217,519	\$ 150,480		
SL Drain	\$ 125,587							\$ 125,587	
	<u>\$ 6,620,901</u>	<u>\$ 3,579,197</u>	<u>\$ 199,120</u>	<u>\$ 2,235</u>	<u>\$ 1,346,763</u>	<u>\$ 1,217,519</u>	<u>\$ 150,480</u>	<u>\$ 125,587</u>	

**R, O&M BUDGET vs Actual COSTS THROUGH August 31, 2020**

						O'NEILL O&M			
	TOTAL	UPPER	INTERTIE & DWR Conveyance	Volta Wells	LWR/POOL	DIRECT	STORAGE	SL DRAIN	
R, O&M Budget	\$ 9,228,561	\$ 4,201,258	\$ 1,704,833	\$ 78,417	\$ 1,661,873	\$ 1,351,085	\$ 166,988	\$ 64,107	
R, O&M Actual	\$ 6,620,901	\$ 3,579,197	\$ 199,120	\$ 2,235	\$ 1,346,763	\$ 1,217,519	\$ 150,480	\$ 125,587	
Difference	<u>\$ 2,607,660</u>	<u>\$ 622,061</u>	<u>\$ 1,505,713</u>	<u>\$ 76,182</u>	<u>\$ 315,110</u>	<u>\$ 133,566</u>	<u>\$ 16,508</u>	<u>\$ (61,480)</u>	
	UNDER	UNDER	UNDER	UNDER	UNDER	UNDER	UNDER	OVER	
	<b>28.256410% under budget</b>								

subject to rounding

San Luis & Delta-Mendota Water Authority  
DMC without CIP / E O&M  
Budget to Actual Paid/Pending Comparison Summary  
March 1, 2020 through August 31, 2020  
FAC 10/5/20 & BOD 10/8/20

		FY Budget 3/1/20 - 2/28/21		Actual To Date Paid/Pending		% of Budget	Notes	Budget Amount Remaining	
Operate & Maintain DMC	S/F 02	5,842,138		2,344,261		40.13%		3,497,877	
Operate & Maintain Wasteways	S/F 04	119,046		41,390		34.77%		77,656	
Mendota Pool	S/F 05	146,558		64,646		44.11%		81,912	
Operate & Maintain JPP	S/F 11	2,601,571		1,151,673		44.27%		1,449,898	
Intertie Maintenance	S/F 12	134,799	A	117,327		87.04%		17,472	
Volta Wells Pumping	S/F 13	124,550		1,604		1.29%		122,946	
Operate & Maintain O'Neill	S/F 19	2,159,728		971,176		44.97%		1,188,552	
Maintain Tracy Fish Facility	USBR 30	229,458		77,414		33.74%		152,044	
Maintain Fish Release Site	USBR 32	6,130		1,487		24.25%		4,643	
Operate & Maintain Tracy USBR	USBR 33	19,187		-		0.00%		19,187	
Operate & Maintain San Luis Drain	S/F 41	96,529		92,689		96.02%		3,840	
Maintain Delta Cross Channel	USBR 44	58,588		2,729		4.66%		55,859	
Warehousing	52	120,866		109,523		90.62%		11,343	
Tracy Field Office Expense	54	509,168		193,465		38.00%		315,702	
Direct Administrative/General Expense	56	1,215,544		656,032		53.97%		559,512	
Indirect O & M LBAO Admin.	58	2,265,550		875,880		38.66%		1,389,670	
TOTAL		15,649,410		6,701,296		42.82%		8,948,114	
Total from Self-Funding - page 2		15,227,361		6,573,290		43.17%		8,654,070	
Total from USBR - page 3		422,049		99,916		23.67%		322,134	
Total from Special Projects - page 4		-		28,090				(28,090)	
Totals		15,649,410		6,701,296				8,948,114	
YTD %			50.00%						

A. Does NOT include DWR Wheeling



# San Luis & Delta-Mendota Water Authority

## Self-Funding Portion of DMC

### Budget to Actual Paid/Pending Comparison Summary

March 1, 2020 through August 31, 2020

FAC 10/5/20 & BOD 10/8/20

		FY Budget 3/1/20 - 2/28/21		Actual To Date Paid/Pending		% of Budget		Budget Amount Remaining	
Operate & Maintain DMC	02	5,842,138		2,344,261		40.13%		3,497,877	
Operate & Maintain Wasteways	04	119,046		41,390		34.77%		77,656	
Mendota Pool	05	146,558		64,646		44.11%		81,912	
Operate & Maintain TPP	11	2,601,571		1,151,673		44.27%		1,449,898	
Intertie Maintenance	12	134,799	A	117,327		87.04%		17,472	
Volta Wells Pumping	13	124,550		1,604		1.29%		122,946	
Operate & Maintain O'Neill	19	2,159,728		971,176		44.97%		1,188,552	
Operate & Maintain San Luis Drain	41	96,529		92,689		96.02%		3,840	
Total Direct O & M		11,224,919		4,784,766		42.63%		6,440,153	
Warehousing	52	117,671		106,755		90.72%		10,916	
Tracy Field Office Expense	54	495,707		188,576		38.04%		307,131	
Direct Administrative/General Expense	56	1,183,408		639,451		54.03%		543,957	
Indirect O & M LBAO Admin.	58	2,205,655		853,743		38.71%		1,351,913	
Total Indirect Allocated to O & M		4,002,442		1,788,525		44.69%		2,213,917	
Total SLDMWA O&M		15,227,361		6,573,290		43.17%		8,654,070	

A. Does NOT include DWR Wheeling

# San Luis & Delta-Mendota Water Authority

## USBR Service Agreement portion of DMC

### Budget to Actual Paid/Pending Comparison Summary

March 1, 2020 through August 31, 2020

FAC 10/5/20 & BOD 10/8/20

		FY Budget 3/1/20 - 2/28/21		Actual To Date Paid/Pending		% of Budget		Budget Amount Remaining	
Maintain Tracy Fish Facility	30	229,458		77,414		33.74%		152,044	
Maintain Fish Release Site	32	6,130		1,487		24.25%		4,643	
Operate & Maintain Tracy USBR	33	19,187		-		0.00%		19,187	
Maintain Delta Cross Channel	44	58,588		2,729		4.66%		55,859	
Total Direct USBR Facilities		313,363		81,630		26.05%		231,733	
Warehousing	52	3,195		1,091		34.16%		2,104	
Tracy Field Office Expense	54	13,461		1,928		14.32%		11,533	
Direct Administrative/General Expense	56	32,136		6,538		20.34%		25,598	
Indirect O & M LBAO Admin.	58	59,895		8,729		14.57%		51,166	
Total Indirect Allocated USBR Facilities		108,687		18,286		16.82%		90,401	
TOTAL USBR FACILITIES		422,049		99,916		23.67%		322,134	

**FAC 10/5/20 & BOD 10/8/20**

## TOTAL INDIRECT ALLOCATED TO SPECIAL PROJECTS

San Luis & Delta-Mendota Water Authority  
DMC WITH CIP / E O & M  
Budget to Actual Paid/Pending Comparison Summary  
March 1, 2020 through August 31, 2020  
FAC 10/5/20 & BOD 10/8/20

		FY Budget 3/1/20 - 2/28/21		Actual To Date Paid/Pending		% of Budget	Notes	Budget Amount Remaining	
Capital Improvement Projects	CIP 25	6,238,400		3,496,709		56.05%		2,741,691	
Extra Ordinary O&M	EO&M 26	3,880,803		1,137,933		29.32%		2,742,870	
Participatory Grants	PAT 45	-		-		0.00%		-	
Operate & Maintain DMC	S/F 02	5,842,138		2,344,261		40.13%		3,497,877	
Operate & Maintain Wasteways	S/F 04	119,046		41,390		34.77%		77,656	
Mendota Pool	S/F 05	146,558		64,646		44.11%		81,912	
Operate & Maintain JPP	S/F 11	2,601,571		1,151,673		44.27%		1,449,898	
Intertie Maintenance	S/F 12	134,799	A	117,327		87.04%		17,472	
Volta Wells Pumping	S/F 13	124,550		1,604		1.29%		122,946	
Operate & Maintain O'Neill	S/F 19	2,159,728		971,176		44.97%		1,188,552	
Maintain Tracy Fish Facility	USBR 30	229,458		77,414		33.74%		152,044	
Maintain Fish Release Site	USBR 32	6,130		1,487		24.25%		4,643	
Operate & Maintain Tracy USBR	USBR 33	19,187		-		0.00%		19,187	
Operate & Maintain San Luis Drain	S/F 41	96,529		92,689		96.02%		3,840	
Maintain Delta Cross Channel	USBR 44	58,588		2,729		4.66%		55,859	
Warehousing	52	140,801		119,880		85.14%		20,921	
Tracy Field Office Expense	54	593,146		211,760		35.70%		381,385	
Direct Administrative/General Expense	56	1,416,025		718,068		50.71%		697,957	
Indirect O & M LBAO Admin.	58	2,639,210		958,705		36.33%		1,680,505	
TOTAL		26,446,667		11,509,450		43.52%		14,937,216	
Total from Self-Funding - page 2		15,227,361		6,573,290		43.17%		8,654,070	
Total from USBR - page 3		422,049		99,916		23.67%		322,134	
Total from Special Projects - page 4		-		28,090				(28,090)	
Total from EO&M		10,797,257		4,808,155		44.53%		5,989,102	
Totals		26,446,667		11,509,450				14,937,216	
YTD %		50.00%							

A Does NOT include DWR Wheeling

**San Luis & Delta-Mendota Water Authority**  
**DMC WITH CIP / E O & M With Indirect Allocated**  
**Budget to Actual Paid/Pending Comparison Summary**  
**March 1, 2020 through August 31, 2020**

FAC 10/5/20 & BOD 10/8/20

		Actual Paid/Pending Expense	% Direct Labor to Total Labor	Allocated Indirect Based on Direct Labor %	Total Expense  Direct & Indirect
Operate & Maintain DMC	S/F 02	2,344,261	42.12%	845,929	3,190,190 *
Operate & Maintain Wasteways	S/F 04	41,390	0.77%	15,545	56,935 *
Mendota Pool	S/F 05	64,646	0.13%	2,654	67,300 *
Operate & Maintain Jones Pumping P	S/F 11	1,151,673	22.90%	459,862	1,611,535 *
Intertie Maintenance	S/F 12	117,327	1.70%	34,182	151,509 *
Intertie DWR Conveyance	S/F 12	47,611			47,611 *
Volta Wells Pumping	S/F 13	1,604	0.03%	631	2,235 *
Operate & Maintain O'Neill	S/F 19	971,176	19.76%	396,823	1,367,999 *
Maintain Tracy Fish Facility	USBR 30	77,414	0.87%	17,425	94,839
Maintain Fish Release Site	USBR 32	1,487	0.03%	659	2,146
Operate & Maintain Tracy USBR	USBR 33	-	0.00%	-	-
Operate & Maintain San Luis Drain	S/F 41	92,689	1.64%	32,898	125,587 *
Maintain Delta Cross Channel	USBR 44	2,729	0.01%	201	2,930
CIP	25	3,496,709	0.00%	-	3,496,709
EO&M & Scada Project	26	1,137,933	8.64%	173,513	1,311,446
SPECIAL PROJECTS	XX		1.40%	28,090	28,090
			100.00%		
Warehousing	52	119,880		2,008,413	11,557,061
Tracy Field Office Expense	54	211,760			
Direct Administrative/General Expense	56	718,068			
Indirect Administrative/General Expense	57	-			(4,808,155) less CIP&EO&M
Indirect O & M LBAO Admin.	58	958,705			(99,916) less USBR Facilities
Total Indirect to be Allocated			2,008,413		(28,090) less Special Projects
<b>TOTAL</b>		<b>11,557,061</b>			6,620,901 *SLDMWA O&M Costs
		includes intertie DWR conveyance			

**San Luis & Delta-Mendota Water Authority**  
**Procurement Activity Report**  
**From September 1 to September 29, 2020**

Date Executed	Contract Title	Vendor or Service Provider	Contract Amount	Contract Solicitation Type	Contract Type	Funding Source	Notes
9/18/2020	Design Services for OPP Main Transformer Rehabilitation 100% Design (LOA 20-LC-20-2742)	USBR	\$ 325,000	See Note Below	Service	EO&M (Fund Code - G3)	Design performed by Technical Services Center in Denver CO
NOTE - Agreement was not issued pursuant to an informal, formal, or single source procedure, but is instead governed by a separate Reclamation-specific process.							

This Procurement Activity Report is intended to satisfy the requirements in the San Luis & Delta-Mendota Water Authority's Consolidated Procurement Policy that the Board be notified of all contracts awarded under informal and formal bidding procedures and single-source procedures promptly following award.